# NICASIO SCHOOL DISTRICT Board Policy

Series 1000: Community Relations

BP 1312.3

# UNIFORM COMPLAINT PROCEDURES

The Governing Board recognizes that the district has the primary responsibility to ensure compliance with applicable state and federal laws and regulations governing educational programs. The Board encourages early resolution of complaints whenever possible. To resolve complaints which may require a more formal process, the Board adopts the uniform system of complaint processes specified in 5 CCR 4600-4670 and the accompanying administrative regulation.

## **Complaints Subject to the UCP**

The district's uniform complaint procedures (UCP) shall be used to investigate and resolve the following complaints:

- Any complaint alleging district violation of applicable state or federal law or regulations. After School Education and Safety programs, and early childhood education program assessments, bilingual education, peer assistance and review programs for teachers, child care\_and development programs, compensatory education, consolidated categorical aid programs, Economic Impact Aid, English learner programs, federal education programs in Title I-VII, migrant education, school safety plans, special education programs, State Preschool Programs, Tobacco-Use Prevention Education programs, and any other district-implemented program which is listed in Education Code 64000(a)
- 2. Any complaint alleging the occurrence of unlawful discrimination (such as discriminatory harassment, intimidation, or bullying) against any student, employee, or other person participating in district programs and activities, including, but not limited to, those programs or activities funded directly by or that receive or benefit from any state financial assistance, based on the person's actual or perceived characteristics of race or ethnicity, color, ancestry, nationality, national origin, immigration status, ethnic group identification, age, religion, marital status, pregnancy, parental status, physical or mental disability, sex, sexual orientation, gender, gender identity, gender expression, or genetic information, or any other characteristic identified in Education Code 200 or 220, Government Code 11135, or Penal Code 422.55, or based on his/her association with a person or group with one or more of these actual or perceived characteristics (5 CCR 4610)
- 3. Any complaint alleging district noncompliance with the requirement to provide reasonable accommodation to a lactating student on school campus to express breast milk, breastfeed an infant child, or address other breastfeeding-related needs of the student (Education Code 222)
- 4. Any complaint alleging district noncompliance with the prohibition against requiring students to pay fees, deposits, or other charges for participation in educational activities (5 CCR 4610)

- 5. Any complaint alleging district noncompliance with legal requirements related to the implementation of the local control and accountability plan (Education Code 52075)
- 6. Any complaint, by or on behalf of any student who is a foster youth, alleging district noncompliance with any legal requirement applicable to the student regarding placement decisions, the responsibilities of the district's educational liaison to the student, the award of credit for coursework satisfactorily completed in another school or district, school transfer, or the grant of an exemption from Board-imposed graduation requirements (Education Code 48853, 48853.5, 49069.5, 51225.1, 51225.2)
- 7. Any complaint alleging district noncompliance with the physical education instructional minutes requirement for students in elementary school (Education Code 51210, 51223)
- 8. Any complaint alleging retaliation against a complainant or other participant in the complaint process or anyone who has acted to uncover or report a violation subject to this policy
- 9. Any other complaint as specified in a district policy

The Board recognizes that alternative dispute resolution (ADR) can, depending on the nature of the allegations, offer a process to reach a resolution to the complaint that is acceptable to all parties. ADR such as mediation may be offered to resolve complaints that involve more than one student and no adult. However, mediation shall not be offered or used to resolve any complaint involving sexual assault or where there is a reasonable risk that a party to the mediation would feel compelled to participate. The Superintendent or designee shall ensure that the use of ADR is consistent with state and federal laws and regulations.

The district shall protect all complainants from retaliation. In investigating complaints, the confidentiality of the parties involved shall be protected as required by law. For any complaint alleging retaliation or unlawful discrimination (such as discriminatory harassment, intimidation, or bullying), the Superintendent or designee shall keep the identity of the complainant, and/or the subject of the complaint if he/she is different from the complainant, confidential when appropriate and as long as the integrity of the complaint process is maintained.

When an allegation that is not subject to the UCP is included in a UCP complaint, the district shall refer the non-UCP allegation to the appropriate staff or agency and shall investigate and, if appropriate, resolve the UCP-related allegation(s) through the district's UCP.

The Superintendent or designee shall provide training to district staff to ensure awareness and knowledge of current law and related requirements, including the steps and timelines specified in this policy and the accompanying administrative regulation.

The Superintendent or designee shall maintain records of all UCP complaints and the investigations of those complaints in accordance with applicable law and district policy.

# **Non-UCP Complaints**

The following complaints shall not be subject to the district's UCP but shall be referred to the specified agency: (5 CCR 4611)

- 1. Any complaint alleging child abuse or neglect shall be referred to the County Department of Social Services, the County Protective Services Division, and the appropriate law enforcement agency.
- 2. Any complaint alleging health and safety violations by a child development program shall, for licensed facilities, be referred to Department of Social Services and shall, for licensing-exempt facilities, be referred to the appropriate Child Development regional administrator.
- 3. Any complaint alleging fraud shall be referred to the Legal, Audits and Compliance Branch of the California Department of Education.

Any complaint alleging employment discrimination or harassment shall be investigated and resolved by the district in accordance with the procedures specified in AR 4030 - Nondiscrimination in Employment.

Any complaint related to sufficiency of textbooks or instructional materials, emergency or urgent facilities conditions that pose a threat to the health or safety of students or staff, or teacher vacancies and misassignments shall be investigated and resolved in accordance with the procedures in AR 1312.4 - Williams Uniform Complaint Procedures. (Education Code 35186)

#### Legal Reference: EDUCATION CODE

200-262.4 Prohibition of discrimination 222 Reasonable accommodations; lactating students 8200-8498 Child care and development programs 8500-8538 Adult basic education 18100-18203 School libraries 32280-32289 School safety plan, uniform complaint procedures 33380-33384 California Indian Education Centers 35186 Williams uniform complaint procedures 44500-44508 California Peer Assistance and Review Program for Teachers 48853-48853.5 Foster youth 48985 Notices in language other than English 49010-49013 Student fees 49060-49079 Student records 49069.5 Rights of parents 49490-49590 Child nutrition programs 49701 Interstate Compact on Educational Opportunity for Military Children 51210 Courses of study grades 1-6 51223 Physical education, elementary schools 51225.1-51225.2 Foster youth, homeless children, former juvenile court school students, and military-connected students; course credits; graduation requirements 51226-51226.1 Career technical education 51228.1-51228.3 Course periods without educational content 52060-52077 Local control and accountability plan, especially: 52075 Complaint for lack of compliance with local control and accountability plan requirements 52160-52178 Bilingual education programs 52300-52462 Career technical education 52500-52616.24 Adult schools 54000-54029 Economic Impact Aid

54400-54425 Compensatory education programs 54440-54445 Migrant education 54460-54529 Compensatory education programs 56000-56865 Special education programs 59000-59300 Special schools and centers 64000-64001 Consolidated application process

## **GOVERNMENT CODE**

11135 Nondiscrimination in programs or activities funded by state 12900-12996 Fair Employment and Housing Act

#### HEALTH AND SAFETY CODE

104420 Tobacco-Use Prevention Education

#### PENAL CODE

422.55 Hate crime; definition 422.6 Interference with constitutional right or privilege

#### **CODE OF REGULATIONS, TITLE 2**

11023 Harassment and discrimination prevention and correction

## **CODE OF REGULATIONS, TITLE 5**

3080 Application of section 4600-4687 Uniform complaint procedures 4900-4965 Nondiscrimination in elementary and secondary education programs

## **UNITED STATES CODE, TITLE 20**

1221 Application of laws
1232g Family Educational Rights and Privacy Act
1681-1688 Title IX of the Education Amendments of 1972
6301-6576 Title I basic programs
6801-7014 Title III language instruction for limited English proficient and immigrant students
7101-7184 Safe and Drug-Free Schools and Communities Act
7201-7283g Title V promoting informed parental choice and innovative programs
7301-7372 Title V rural and low-income school programs
12101-12213 Title II equal opportunity for individuals with disabilities

## **UNITED STATES CODE, TITLE 29**

794 Section 504 of Rehabilitation Act of 1973

## **UNITED STATES CODE, TITLE 42**

2000d-2000e-17 Title VI and Title VII Civil Rights Act of 1964, as amended 2000h-2-2000h-6 Title IX of the Civil Rights Act of 1964 6101-6107 Age Discrimination Act of 1975

#### CODE OF FEDERAL REGULATIONS, TITLE 28

35.107 Nondiscrimination on basis of disability; complaints

# CODE OF FEDERAL REGULATIONS, TITLE 34

99.1-99.67 Family Educational Rights and Privacy Act 100.3 Prohibition of discrimination on basis of race, color or national origin 104.7 Designation of responsible employee for Section 504

## CODE OF FEDERAL REGULATIONS, TITLE 34

106.8 Designation of responsible employee for Title IX 106.9 Notification of nondiscrimination on basis of sex 110.25 Notification of nondiscrimination on the basis of age

Management Resources: CALIFORNIA DEPARTMENT OF EDUCATION PUBLICATIONS Sample UCP Board Policies and Procedures

## **U.S. DEPARTMENT OF EDUCATION, OFFICE FOR CIVIL RIGHTS PUBLICATIONS**

Dear Colleague Letter: Title IX Coordinators, April 2015 Dear Colleague Letter: Bullying of Students with Disabilities, August 2013 Dear Colleague Letter: Harassment and Bullying, October 2010 Revised Sexual Harassment Guidance: Harassment of Students by School Employees, Other Students, or Third Parties, January 2001

## **U.S. DEPARTMENT OF JUSTICE PUBLICATIONS**

Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons, 2002

#### WEB SITES

CSBA: http://www.csba.org California Department of Education: http://www.cde.ca.gov Family Policy Compliance Office: http://familypolicy.ed.gov U.S. Department of Education, Office for Civil Rights: http://www.ed.gov/ocr U.S. Department of Justice: http://www.justice.gov

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